

3754

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Docket No.

5741.11

(Under 37 CFR 1.97(b) or 1.97(c))

In the Application Of: Jeffrey C. Ostler

Serial No.

09/993,796

Filing Date

November 15, 2001

Examiner

Unknown

Group Art Unit

3754

Title: **DELIVERY CHUTE SYSTEM FOR GRAVITY GAME**

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Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

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TECHNOLOGY CENTER R3700

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

- ☒ Information Disclosure Statement Under 37 C.F.R. § 1.97.

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In Re Application Of Jeffrey C. Ostler

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Title: DELIVERY CHUTE SYSTEM FOR GRAVITY GAME

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## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

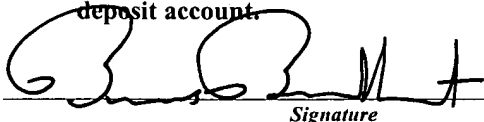
## Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on April 11, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Margaret Carlson  
Signature of Person Mailing Correspondence

Margaret Carlson  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: April 11, 2002

Berne S. Broadbent,  
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CC:

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
		)
	Jeffrey Ostler et al.	)
		)
Serial No.:	09/993,796	) Art Unit
		) 3754
Filed:	November 15, 2001	)
		)
For:	DELIVERY CHUTE SYSTEM	)
	FOR GRAVITY GAME	)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner  
for Patents  
Washington, D. C. 20231

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and/or his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Office Action.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an

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admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration;
2. A legible copy of each listed patent, publication and other item of information in written form; and
3. Photographs of a September 2000 prototype and related information.

DATED this 11<sup>th</sup> day of April, 2002.

Respectfully submitted,



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